**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 05-720-US2

In re Application of: Kouvetakis, et al.	)	Examiner: PATEL, REEMA
Application No. 10/559,981	)	Art Unit: 2812
Filed: September 5, 2006	)	Confirmation No.: 6588
For: GeSn Alloys and Ordered Phases with Direct Tunable Bandgaps Grown Directly on Silicon	)	
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		

### DECLARATION UNDER 37 C.F.R. §1.132

We, John Kouvetakis, residing at 7032 E. Indigo, Mesa, Arizona;

Matthew Bauer, residing at 1505 NE Orenco Station Parkway, Hillsboro, Oregon;

Jose Menendez, residing at 309 E. 14<sup>th</sup> Street, Tempe, Arizona,

and

John Tolle, residing at 1489 S. Dove Street, Gilbert, Arizona,

do declare and say:

- 1. We are inventors ("Applicant") of the subject matter claimed in the above-identified application ("the application").
- 2. Cited against the claims of the application, under 35 U.S.C. § 102(a), are the disclosures of two reference articles:
  - a. Bauer, Tolle, Bungay, Chizmeshya, Smith, Menendez, and Kouvetakis, *Solid State Communications* **2003**, *127*, 355 359. ('Bauer I'); and
  - b. Bauer, Taraci, Tolle, Chizmeshya, Zollner, Smith, Menendez, Hu, and Kouvetakis Appl. Phys. Lett. 2002, 81, 2992 2994. ('Bauer II').

- 3. Applicant unequivocally declares that they are the sole inventor of the cited disclosure in the above identified references, *i.e.*, the above identified references are Applicant's own work.
- 4. Applicant unequivocally declares that all other co-authors of the above identified references were merely working under Applicant's direction.
- 5. We hereby further declare that all statements made herein by our own knowledge are true and that all statements made on information and belief are believed to be true and further that we make these statements with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and of any patent issuing thereon.

		Respectfully,
Date: 09 06 07	By:	John Kouvetakis
Date:	Ву:	Matthew Bauer
Date:	Ву:	Jose Menendez
Date: $\frac{9/26/67}{2}$	Ву:	John Tolle

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 05-720-US2

In re Application of: Kouvetakis, et al.	)	Examiner: PATEL, REEMA
Application No. 10/559,981	)	Art Unit: 2812
Filed: September 5, 2006	)	Confirmation No.: 6588
For: GeSn Alloys and Ordered Phases with Direct Tunable Bandgaps Grown Directly on Silicon	)	
Commissioner for Patents P.O. Box 1450		
Alexandria, VA 22313-1450		

#### DECLARATION UNDER 37 C.F.R. §1.132

We, John Kouvetakis, residing at 7032 E. Indigo, Mesa, Arizona;

Matthew Bauer, residing at 1505 NE Orenco Station Parkway, Hillsboro, Oregon;

Jose Menendez, residing at 309 E. 14<sup>th</sup> Street, Tempe, Arizona,

and

John Tolle, residing at 1489 S. Dove Street, Gilbert, Arizona,

do declare and say:

- 1. We are inventors ("Applicant") of the subject matter claimed in the above-identified application ("the application").
- 2. Cited against the claims of the application, under 35 U.S.C. § 102(a), are the disclosures of two reference articles:
  - a. Bauer, Tolle, Bungay, Chizmeshya, Smith, Menendez, and Kouvetakis, *Solid State Communications* **2003**, *127*, 355 359. ('Bauer I'); and
  - b. Bauer, Taraci, Tolle, Chizmeshya, Zollner, Smith, Menendez, Hu, and Kouvetakis Appl. Phys. Lett. 2002, 81, 2992 2994. ('Bauer II').

- 3. Applicant unequivocally declares that they are the sole inventor of the cited disclosure in the above identified references, *i.e.*, the above identified references are Applicant's own work.
- 4. Applicant unequivocally declares that all other co-authors of the above identified references were merely working under Applicant's direction.
- 5. We hereby further declare that all statements made herein by our own knowledge are true and that all statements made on information and belief are believed to be true and further that we make these statements with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and of any patent issuing thereon.

		Respectfully,
Date:	Ву:	John Kouvetakis
Date: 10/3/07	Ву:	Hatthew Bauer
Date:	Ву:	Jose Menendez
Date:	Ву:	John Tolle

#### PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 05-720-US2

In re Application of: Kouvetakis, et al.	) Examiner: PATEL, REEMA
Application No. 10/559,981	) Art Unit: 2812
Filed: September 5, 2006	) Confirmation No.: 6588
For: GeSn Alloys and Ordered Phases with Direct Tunable Bandgaps Grown Directly on Silicon	) ) )
Commissioner for Patents P.O. Box 1450	
Alexandria, VA 22313-1450	

#### DECLARATION UNDER 37 C.F.R. §1.132

We, John Kouvetakis, residing at 7032 E. Indigo, Mesa, Arizona;
 Matthew Bauer, residing at 1505 NE Orenco Station Parkway, Hillsboro, Oregon;
 Jose Menendez, residing at 309 E. 14<sup>th</sup> Street, Tempe, Arizona,
 and
 John Tolle, residing at 1489 S. Dove Street, Gilbert, Arizona,
 do declare and say:

- 1. We are inventors ("Applicant") of the subject matter claimed in the above-identified application ("the application").
- 2. Cited against the claims of the application, under 35 U.S.C. § 102(a), are the disclosures of two reference articles:
  - a. Bauer, Tolle, Bungay, Chizmeshya, Smith, Menendez, and Kouvetakis, *Solid State Communications* **2003**, *127*, 355 359. ('Bauer I'); and
  - b. Bauer, Taraci, Tolle, Chizmeshya, Zollner, Smith, Menendez, Hu, and Kouvetakis *Appl. Phys. Lett.* **2002**, *81*, 2992 2994. ('Bauer II').

- 3. Applicant unequivocally declares that they are the sole inventor of the cited disclosure in the above identified references, *i.e.*, the above identified references are Applicant's own work.
- 4. Applicant unequivocally declares that all other co-authors of the above identified references were merely working under Applicant's direction.
- 5. We hereby further declare that all statements made herein by our own knowledge are true and that all statements made on information and belief are believed to be true and further that we make these statements with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and of any patent issuing thereon.

		Respectfully,
Date:	Ву:	John Kouvetakis
Date:	Ву:	
Date:	Ву:	J. Menendez  Jose Menendez
Date:	Ву:	John Tolle